

**Oil Spill Recovery Institute**  
**FY07 Report**  
For the 10 month period ending July 31, 2007  
8/20/07

<u>Funds Available</u>	
WellsFargo N.A.	4,181
Dean Witter Money Market	19,588
Dean Witter Investments	<u>2,186,508</u>
Funds Available as of 7/31/07	2,210,277

FY07 OSRI = \$839,562 (Deposited 11/28/06)

<u>Encumbered and Allocated</u>	
FY05/06 Encumbered previous years	397,791
FY07 Workplan budget* (See Detail Below)	1,255,239
FY07 Expended	(901,637)
07 Balance (not currently awarded or allocated)	(165,363)
FY07 Expected to be contracted	<u>-</u>
Total Encumbered and Allocated	586,030

Total Estimated Funds	2,210,277
Encumbered and Allocated	(586,030)
Less 20% Admin on Interest & Investment Appreciation	<u>(308,711)</u>
<b>Total Unencumbered Program Funds</b>	<b>1,315,536</b>

Based on 07/31/07 cash report

<b>Revised FY06 Prior Years' Encumbered</b>	
Administration	-
Goal 1 - Understand	274,630
Goal 2 - Respond	65,825
Goal 3 - Inform	57,336
Other Programs	-
Total Carry-over Obligations	<u>397,791</u>

<b>FY07 Workplan budget</b>	
Administration	170,239
Goal 1 - Understand	585,000
Goal 2 - Respond	195,000
Goal 3 - Inform	105,000
Other Programs	200,000
Total Appropriated FY07 Work Plan	<u>1,255,239</u>

<b>Columns Legend:</b> Revised FY06 Prior Years' Encumbered - FY05/06 Contracts to be carried over to FY07 FY07 Workplan budget - Proposed spending for FY07 Funds
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8/20/07

	Revised FY06 Prior Years' <u>Encumbered</u>	<u>FY07 Workplan</u>	<u>FY07 Total Budget</u>	<u>FY07 Expended</u>	<u>Encumbered</u>	<u>FY07 Balance</u>
<b>Administration</b>						
Personnel Services	-	111,162	111,162	94,854	16,308	-
Supplies	-	4,000	4,000	4,046	(46)	-
Contractual Services	-	40,077	40,077	26,941	13,136	-
Equipment	-	-	-	-	-	-
Travel	-	15,000	15,000	7,186	7,814	-
<b>Total</b>	<u>-</u>	<u>170,239</u>	<u>170,239</u>	<u>133,027</u>	<u>37,212</u>	<u>-</u>

**Columns Legend:**

Revised FY06 Prior Years' Encumbered - FY05/06 Contracts to be carried over to FY07  
 FY07 Workplan budget - Proposed spending for FY07 Funds  
 FY07 Total Budget - Total Prior Year and FY07 Workplan to be spent or awarded in FY07  
 FY07 Expended - Payments made in FY07  
 Encumbered - balance of contracts awarded in FY06 (not yet paid but contracted)  
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<u>Goal 1 - Understand</u>	Revised FY06 Prior Years' Encumbered	FY07 Workplan	FY07 Total Budget	FY07 Expended	Encumbered	FY07 Balance
Physical Meteorology (logistics & technician)	12,203	40,000	52,203	12,954	39,249	-
Observational Oceanographic moorings (logistics & technician)	19,889	80,000	99,889	95,889	4,000	-
Physical Oceanography Fellowship (post-doc)	31,006	80,000	111,006	52,486	58,520	-
Senior Research Fellowship	-	-	-	-	-	-
NPRB Partnership - Biological & Socioeconomic	-	125,000	125,000	72,500	-	52,500
PWSSC Research Fellowships	-	80,000	80,000	-	75,304	4,696
Atmospheric Circulation Model (RAMS)	60,000	60,000	120,000	57,206	62,794	-
Synoptic Wave Model (SWAN)	-	5,000	5,000	-	-	5,000
Data Assimilation Model (ROMS)	60,000	60,000	120,000	72,134	47,866	-
Data Management	40,000	30,000	70,000	25,213	44,787	-
Graduate Fellowships*	26,256	25,000	51,256	41,456	9,800	-
Intertidal Resources at Risk to Oil Spill	7,690	-	7,690	7,690	-	-
Biological Monitoring of Prince William Sound	17,586	-	17,586	17,586	-	-
<b>Goal 1 Total</b>	<u>274,630</u>	<u>585,000</u>	<u>859,630</u>	<u>455,114</u>	<u>342,320</u>	<u>62,196</u>

\* Fellowships are moved to Goal 3 in the FY08 Budget

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<u>Goal 2 - Respond</u>	<u>Revised FY06 Prior Years' Encumbered</u>	<u>Revised FY07 Workplan</u>	<u>FY07 Total Budget</u>	<u>FY07 Expended</u>	<u>Encumbered</u>	<u>FY07 Balance</u>
Oil Spill Recovery in cold climates (CICEET/CRRC) *	59,145	-	59,145	59,145	-	-
Reponse Partnership		25,000 ***	25,000		-	25,000
Joint Industry Partnership		45,000 ***	45,000	7,719	10,000	27,281
Oil-Spill-Recovery Prize		100,000	100,000	25,000	75,000	-
AOOS/OSRI Drifter Field Experiment		25,000	25,000	-	-	25,000
Graduate Fellowship **	6,680	-	6,680	-	6,680	-
<b>Goal 2 Total</b>	<u><b>65,825</b></u>	<u><b>195,000</b></u>	<u><b>260,825</b></u>	<u><b>91,864</b></u>	<u><b>91,680</b></u>	<u><b>77,281</b></u>

\* RFP issued in partnership with the Coastal Response Research Center (CRRC), Cooperative Institute for Coastal and Estuarine Environmental Technology (CICEET)

\*\* Fellowships are moved to Goal 3 in the FY08 Budget

\*\*\* 25K from each moved to Oil-Spill-Recovery Prize as per S & T Comm Recommendations & Ex Comm. approval

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<u>Goal 3 - Inform</u>	Revised FY06 Prior Years' Encumbered	FY07 Workplan	FY07 Total Budget	FY07 Expended	Encumbered	FY07 Balance
<b>Continuing Projects</b>						
Discovery Room	-	40,000	40,000	40,000	-	-
From the Forest to the Sea	-	15,000	15,000	15,000	-	-
Coastal Community Outreach & Education	-	15,000	15,000	15,000	-	-
High School Scholarships	-	1,000	1,000	895	-	105
National Ocean Science Bowl	-	4,000	4,000	4,000	-	-
Graduate Level Fellowships	20,549	-	20,549	20,369	180	-
Board Discretionary Workshops	30,000	15,000	45,000	19,219	-	25,781
OSRI Web site	1,787	5,000	6,787	2,811	3,976	-
Annual Report	5,000	10,000	15,000	-	15,000	-
<b>Goal 3 Total</b>	<b>57,336</b>	<b>105,000</b>	<b>162,336</b>	<b>117,294</b>	<b>19,156</b>	<b>25,886</b>

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<u>Other Programs</u>	Revised FY06 Prior Years' Encumbered	FY07 Workplan	FY07 Total Budget	FY07 Expended	Encumbered	FY07 Balance
Research Program Manager	-	95,000	95,000	84,574	10,426	-
Graduate Research Fellowship *		75,000	75,000	-	75,000	-
Travel - Research Program Manager	-	15,000	15,000	12,148	2,852	-
Travel & Program - Science & Technical Cor	-	15,000	15,000	7,616	7,384	-
Other Programs	-	<u>200,000</u>	<u>200,000</u>	<u>104,338</u>	<u>95,662</u>	-

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<b>Administration</b>	-	170,239	170,239	133,027	37,212	-
<b>Goal 1 - Understand</b>	274,630	585,000	859,630	455,114	342,320	62,196
<b>Goal 2 - Respond</b>	65,825	195,000	260,825	91,864	91,680	77,281
<b>Goal 3 - Inform</b>	57,336	105,000	162,336	117,294	19,156	25,886
<b>Other Programs</b>	-	200,000	200,000	104,338	95,662	-
	<b>397,791</b>	<b>1,255,239</b>	<b>1,653,030</b>	<b>901,637</b>	<b>586,030</b>	<b>165,363</b>

**FY07 OSRI Deposits, Interest & Program Funds Available**  
as of July 31, 2007

Oil Spill Recovery Institute  
Annual Deposit from Interest Earned on the TAP Fund

			TAP Fund Balance					
			22,500,000					
OSRI Annual Deposits			Tap Fund Interest	USCG Treasury Deposit	Year	Interest	Appreciation	Interest & Investment Appreciation
12/96	Year One	Interest for 2 year period	10.71%	2,409,944	1996	17,094		17,094
11/97	Year Two		5.38%	1,209,550	1997	315,741	4,312	320,053
10/31/98	Year Three		5.25%	1,181,725	1998	265,685	(178,012)	87,673
12/07/99	Year Four		4.37%	982,281	1999	197,598	41,183	238,781
01/04/01	Year Five		5.35%	1,203,439	2000	143,331	88,883	232,214
11/20/01	Year Six		5.10%	1,147,258	2001	153,087	70,208	223,295
11/06/02	Year Seven		4.11%	924,128	2002	97,853	26,448	124,301
10/22/03	Year Eight		3.91%	880,105	2003	85,704	15,979	101,683
10/27/04	Year Nine		3.84%	862,945	2004	61,946	(44,000)	17,946
10/15/05	Year Ten		3.78%	851,193	2005	57,665	(5,028)	52,637
11/15/06	Year Eleven		3.73%	839,562	2006	32,710	95,166	127,876
Total Deposit to Date				12,492,130		1,428,414	115,139	1,543,553
Other Income				140,415				
Total Funds to Date				12,632,545				
Actual Expenses for all years as of 07/31/07						(11,965,821)		
FY07 Budget & Encumbered less expenses as of 07/31/07						(586,030)		
Total Expended & Encumbered						(12,551,851)		
Reserve funds allocated for FY07 Workplan						80,694		*
OSRI Interest & Appreciation Earned as of 07/31/07						1,543,553		
Total Unencumbered Balance including Admin						1,624,247		
Less 20% Admin on Interest & Investment Appreciation						(308,711)		**
Total Unencumbered Program Funds available						1,315,536		

Actual deposits that were made by the USCG Treasury Department to the Prince William Sound Science Center  
The percent % show above are based on the 22,500,000 TAPS Fund Balance divided by the deposit amount.

\* Admin has already been deducted

\*\* 20% admin from 1,543,553 Interest & Investment Appreciation